

**Security Council**

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**Letter dated 17 December 2014 from the Chair of the  
Security Council Committee established pursuant to resolution  
2140 (2014) addressed to the President of the Security Council**

I have the honour to transmit herewith the report of the Security Council Committee established pursuant to resolution 2140 (2014), containing an account of the Committee's activities from 26 February to 31 December 2014. The report, which was adopted by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 ([S/1995/234](#)).

I would appreciate it if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Raimonda **Murmokaitė**  
Chair of the Security Council Committee  
established pursuant to resolution 2140 (2014)

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\* Reissued for technical reasons on 5 January 2015.



## **Report of the Security Council Committee established pursuant to resolution 2140 (2014)**

### **I. Introduction**

1. The present report of the Security Council Committee established pursuant to resolution 2140 (2014) covers the period from 26 February to 31 December 2014. It is the first annual report of the Committee.
2. The Bureau of the Committee consisted of Raimonda Murmokaitė (Lithuania) as Chair and the representative of Jordan as Vice-Chair.

### **II. Background**

3. By its resolution 2140 (2014), the Security Council imposed an assets freeze and a travel ban, for an initial period of one year from the date of the adoption of the resolution, on individuals and entities engaging in or providing support for acts that threatened the peace, security or stability of Yemen. The Council established a committee to designate such individuals and entities and to oversee the implementation of the measures and a panel of experts to assist the Committee in carrying out its mandate. Exemptions to the measures were also included.

### **III. Summary of the activities of the Committee**

4. The Committee met six times in informal consultations, on 5 May, 20 and 24 June, 30 July, 10 September and 4 November. The Committee also convened two formal meetings, on 30 April and 26 November, in addition to conducting its work through written procedures.
5. During the informal consultations held on 5 May, the Committee met with the newly established Panel of Experts. In the absence of a coordinator of the Panel, the regional expert provided a preliminary indication of how the Panel intended to create a programme of work.
6. At the informal consultations held on 20 June, the Acting Coordinator of the Panel orally presented to the Committee the written update of the Panel, submitted earlier pursuant to paragraph 21 (c) of resolution 2140 (2014), also describing the Panel's activities since the preparation of the update.
7. During the informal consultations held on 24 June, which were convened jointly with the Security Council Committee pursuant to resolutions 1267 (1999) and 1989 (2011) concerning Al-Qaida and associated individuals and entities and the Security Council Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism, the three committees held an interactive discussion on issues of mutual relevance, with the participation of representatives of Yemen, the Special Adviser to the Secretary-General on Yemen, the Panel of Experts on Yemen, the Analytical Support and Sanctions Monitoring Team established pursuant to Security Council resolution 1526 (2004) and the Counter-Terrorism Committee Executive Directorate.

8. At the informal consultations held on 30 July, the Committee heard a briefing by the Special Adviser and held an exchange of views with the Coordinator of the Panel, mainly on the Panel's work and travel programme.
9. During the informal consultations held on 10 September, the Coordinator of the Panel orally presented to the Committee the interim report of the Panel, submitted earlier pursuant to paragraph 21 (c) of resolution 2140 (2014), which the Committee thereafter discussed.
10. At the informal consultations held on 4 November, the Coordinator of the Panel orally introduced to the Committee the four statements of case, submitted earlier by the Panel, on individuals who, in the Panel's assessment, met the designation criteria outlined in paragraphs 17 and 18 of resolution 2140 (2014). The Committee also held an exchange of views on three designation proposals submitted by a Member State on 31 October.
11. At its first and second formal meetings, on 30 April and 26 November, the Committee engaged in a dialogue with representatives of Yemen, the States members of the Gulf Cooperation Council and the Gulf Cooperation Council, pursuant to paragraph 19 (f) of resolution 2140 (2014), and discussed the implementation of the sanctions measures.
12. On 14 May and 11 December, the Chair of the Committee briefed the Security Council in public meetings, which were followed by informal consultations of the Council, on the activities of the Committee, pursuant to paragraph 19 (e) of resolution 2140 (2014) (see [S/PV.7175](#) and [S/PV.7336](#)). On 27 June, 16 September and 6 November, a representative of the Chair also informally briefed representatives of Yemen, the States members of the Gulf Cooperation Council and the Gulf Cooperation Council on developments in the Committee.
13. The Committee adopted guidelines for the conduct of its work on 4 April. The Committee approved a cooperation agreement with the International Criminal Police Organization (INTERPOL) on 25 November, which came into effect on 4 December. The agreement provides for the exchange of information between INTERPOL, the Committee and the Panel on individuals and entities on the sanctions list of the Security Council Committee established pursuant to resolution 2140 (2014), as well on other issues of common interest relating to the respective mandates of the Committee and the Panel. The agreement allows for the issuance of INTERPOL-United Nations Security Council Special Notices in relation to the individuals designated by the Committee.
14. The Committee sent 24 communications to several Member States and other stakeholders with reference to the implementation of the sanctions measures.
15. The Committee issued eight press releases on various aspects of its work.<sup>1</sup> In the press release issued subsequent to the informal consultations held on 24 June, the Committee highlighted, among other things, that meeting participants had identified a range of opportunities to enhance coordination and cooperation among relevant United Nations and Security Council entities in delivering support to Yemen to combat terrorism. In the press release issued subsequent to the formal meeting held on 26 November, the Committee captured the emphasis placed by meeting participants on the need to implement the assets freeze and travel ban and

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<sup>1</sup> See [www.un.org/sc/committees/2140/pressreleases.shtml](http://www.un.org/sc/committees/2140/pressreleases.shtml).

the encouragement from the Committee to Member States to respond in a timely manner to the information requests submitted by the Panel on implementation-related aspects of the two measures.

#### **IV. Exemptions**

16. Exemptions to the assets freeze are outlined in paragraphs 12 to 14 of resolution 2140 (2014).

17. Exemptions to the travel ban are outlined in paragraph 16 of resolution 2140 (2014).

#### **V. Sanctions list**

18. The criteria for the designation of individuals and entities as subject to the assets freeze and travel ban are set out in paragraphs 17 and 18 of resolution 2140 (2014).

19. As at the end of the reporting period, three individuals were on the sanctions list of the Security Council Committee established pursuant to resolution 2140 (2014), having been designated on 7 November.

#### **VI. Panel of Experts**

20. Following the adoption by the Security Council of resolution 2140 (2014) on 26 February, the Secretary-General, on 16 April, appointed four individuals to the Panel (see [S/2014/282](#)) with the following areas of expertise: armed groups, finance, international humanitarian law and regional issues. After the resignation of the regional expert, the Secretary-General appointed his replacement on 2 July (see [S/2014/465](#)). The mandate of the Panel expires on 26 March 2015.

21. On 5 June and 27 August, the Panel submitted to the Committee its update and interim report, respectively, pursuant to paragraph 21 (c) of resolution 2140 (2014), which were transmitted to the Security Council on 25 June and 19 September.

22. On 21 July, the Panel submitted to the Committee a report on its first visit to Yemen, as requested by members of the Committee during the informal consultations held on 20 June.

23. On 23 September, the Panel submitted to the Committee a document that gave an indication as to the material under review by the Panel and also provided biointentifier and other information in the Panel's possession on specific individuals, pursuant to a request by members of the Committee to keep the Committee continually apprised, regardless of the official reporting timelines. On 24 September, the Panel submitted to the Committee a travel plan, as requested by members of the Committee. Both requests were made during the informal consultations held on 10 September.

24. On 15 and 24 October, the Panel submitted to the Committee statements of case on four individuals who, in the Panel's assessment, met the designation criteria outlined in paragraphs 17 and 18 of resolution 2140 (2014).

25. The Panel conducted visits to Bahrain, Germany, Italy, Kuwait, Oman, Qatar, Saudi Arabia, Turkey, the United Arab Emirates, the United Kingdom of Great Britain and Northern Ireland, the United States of America and Yemen.

## **VII. Secretariat administrative and substantive support**

26. The Security Council Affairs Division provided administrative and substantive support to the Chair and members of the Committee. Support was also provided to Member States to promote understanding of the sanctions regime and to facilitate the implementation of the sanctions measures.

27. The Division also managed the Committee's website in accordance with the Committee's guidelines, including by updating the Committee's sanctions list. In 2014, in response to resolutions 2083 (2012) and 2161 (2014), and to further promote the implementation of Security Council sanctions regimes by national authorities, the Division standardized the format of all Council sanctions lists and established the Consolidated United Nations Security Council Sanctions List, comprising the names included in all the sanctions lists of the Council sanctions committees.

28. As part of the Division's effort to recruit well-qualified experts to serve on sanctions monitoring groups, teams and panels, and as is done annually, a note verbale was sent to all Member States in December to request the nomination of qualified candidates for membership of the Division's roster of experts. Upon the receipt of nominations, the Division will assess the suitability of nominated candidates for its roster, for future consideration for the relevant expert panels. The roster, developed in partnership with the United Nations Office for South-South Cooperation, uses a versatile technological platform to screen candidates against available terms of reference for expert positions and manages their profiles for consideration for current and future positions on expert panels. The roster is designed to ensure that the sanctions committees have access to a broad pool of qualified candidates, with due regard for geographical diversity and gender balance. An invitation to join the roster does not guarantee actual selection or consideration for available positions.

29. In 2014, the Division continued to provide administrative and substantive support to the Panel of Experts, conducting an induction for newly appointed members, in New York, and assisting in the preparation of the Panel's interim report, in Brindisi, Italy, and the Panel's final report, in New York.

30. To promote greater cooperation among the different panels, the Division organized a second annual inter-panel coordination workshop, held in New York on 16 and 17 December. The event was attended by members of all 11 monitoring groups, teams and panels. The focus of the workshop was on enhancing cooperation with the United Nations system. Moreover, the Division created a collaborative web-based platform allowing each panel of experts to securely manage its own information and to promote working-level communication across panels in the fields of arms, finance, aviation, customs and transport.