



## Security Council

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### Security Council Committee established pursuant to resolution [1718 \(2006\)](#)

#### **Note verbale dated 11 January 2018 from the Permanent Mission of Kazakhstan to the United Nations addressed to the Chair of the Committee**

The Permanent Mission of the Republic of Kazakhstan to the United Nations presents its compliments to the Chair of the Security Council Committee established pursuant to resolution [1718 \(2006\)](#) and has the honour to submit the National Action Plan of the Republic of Kazakhstan to implement the United Nations Security Council Resolutions [2321 \(2016\)](#) of 30 November 2016, [2356 \(2017\)](#) of 2 June 2017 and [2371 \(2017\)](#) of 5 August 2017 (see annex).



**Annex to the note verbale dated 11 January 2018 from the  
Permanent Mission of Kazakhstan to the United Nations  
addressed to the Chair of the Committee**

[Original: Russian]

**Plan of action for the implementation of Security Council  
resolutions [2321 \(2016\)](#) of 30 November 2016, [2356 \(2017\)](#) of  
2 June 2017 and [2371 \(2017\)](#) of 5 August 2017**

All government bodies of the Republic of Kazakhstan shall act in compliance with United Nations Security Council resolutions [2321 \(2016\)](#), [2356 \(2017\)](#) and [2371 \(2017\)](#), including:

1. The Ministry of Foreign Affairs of the Republic of Kazakhstan, which shall:

Take relevant steps to restrict the entry into or transit through the territory of the Republic of Kazakhstan of individuals and entities listed in annexes I and II to resolutions [2321 \(2016\)](#), [2356 \(2017\)](#) and [2371 \(2017\)](#) that have assisted in the evasion of sanctions or have violated the provisions of resolutions [1718 \(2006\)](#), [1874 \(2009\)](#), [2087 \(2013\)](#), [2094 \(2013\)](#) and [2270 \(2016\)](#). If such individuals are detected, their repatriation to the Democratic People's Republic of Korea shall be organized in accordance with applicable national and international law;

Provide all necessary information to the Security Council and the 1718 Committee (hereinafter the Committee) on the specific measures, information discovered and action taken pursuant to resolutions [2321 \(2016\)](#), [2356 \(2017\)](#) and [2371 \(2017\)](#), and, where necessary, consult with the Committee on the procedure for applying the provisions of resolutions [2321 \(2016\)](#), [2356 \(2017\)](#) and [2371 \(2017\)](#).

2. Government bodies of the Republic of Kazakhstan, within their spheres of competence, shall:

Prevent proliferation-sensitive specialized teaching and training activities of the Democratic People's Republic of Korea, including but not limited to advanced materials science, advanced chemical engineering, advanced mechanical engineering, advanced electrical engineering and advanced industrial engineering;

Suspend scientific and technical cooperation involving persons or groups officially sponsored by or representing the Democratic People's Republic of Korea except for medical exchanges, unless a particular activity will not contribute to that country's proliferation-sensitive nuclear activities or ballistic missile-related programmes, with the United Nations Security Council being duly informed of that decision;

**Transportation**

Duly inspect the cargo within or transiting through (rail and road transport) the territory of the Republic of Kazakhstan, including in its airports, seaports and free trade zones, that has originated in the Democratic People's Republic of Korea, or that is destined for the Democratic People's Republic of Korea, or has been brokered or facilitated by that country or its nationals, or by individuals or entities acting on their behalf, or at their direction, or entities owned or controlled by them, or by designated individuals or entities, or that is being transported on flagged aircraft or maritime vessels of the Democratic People's Republic of Korea, for the purposes of ensuring that no items are transferred in violation of resolutions [1718 \(2006\)](#), [1874 \(2009\)](#), [2087 \(2013\)](#), [2094 \(2013\)](#) and [2270 \(2016\)](#). However, such inspections shall be

implemented in a manner that minimizes the impact on the transfer of cargo that is for humanitarian purposes;

Prohibit nationals and entities in the territory of the Republic of Kazakhstan, and entities incorporated in its territory or subject to its jurisdiction, from owning, leasing and operating any vessel or aircraft flagged by the Democratic People's Republic of Korea, and the leasing and chartering of vessels flagged by the Republic of Kazakhstan and the provision of crew services for the Democratic People's Republic of Korea without any exception, unless approved by the Committee on a case-by-case basis in advance;

Prohibit the entry into ports of any vessel if there is information that provides reasonable grounds to believe that the vessel is or has been directly or indirectly related to the nuclear- or ballistic-related programmes or activities prohibited by resolutions [1718 \(2006\)](#), [1874 \(2009\)](#), [2087 \(2013\)](#), [2094 \(2013\)](#), [2270 \(2016\)](#) and [2321 \(2016\)](#);

Prohibit nationals of the Republic of Kazakhstan from procuring vessel and aircraft crewing services from the Democratic People's Republic of Korea;

Prevent the sale or supply by nationals from the territory of the Republic of Kazakhstan of aviation fuel to the territory of the Democratic People's Republic of Korea. Vigilance shall be exercised to ensure that no more fuel is provided to flagged civil passenger aircraft of the Democratic People's Republic of Korea than is necessary for the relevant flight, including a standard margin for safety of flight;

Immediately de-register and not register any vessel that is owned, controlled or operated by the Democratic People's Republic of Korea;

Prevent the direct or indirect supply, sale or transfer to the Democratic People's Republic of Korea, through the territory of the Republic of Kazakhstan or by its nationals, or using its flag vessels or aircraft, whether or not originating in the territory of the Republic of Kazakhstan, of new helicopters and vessels, except as approved in advance by the Committee on a case-by-case basis;

## **Sectoral**

Take the necessary steps to prevent the direct or indirect supply, sale or transfer to the Democratic People's Republic of Korea, through the territory of the Republic of Kazakhstan or by its nationals, or using its flag vessels or aircraft, whether or not originating in its territory, of the items, materials, equipment, goods and technology listed in annex III to resolution [2321 \(2016\)](#), and the luxury goods indicated in annex IV to resolution [2321 \(2016\)](#);

Prohibit nationals of the Republic of Kazakhstan from procuring, or using its flag vessels or aircraft to procure, coal, iron and iron ore, copper, nickel, silver and zinc from the Democratic People's Republic of Korea, whether or not originating in the territory of the Democratic People's Republic of Korea,

For sales and transactions of iron and iron ore for which written contracts have been finalized prior to the adoption of resolution [2371 \(2017\)](#), all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of resolution [2371 \(2017\)](#) with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of resolution [2371 \(2017\)](#);

This provision shall not apply with respect to coal that the exporting State confirms on the basis of credible information has originated outside the Democratic People's Republic of Korea and was transported through that country solely for export from the Port of Rajin (Rason), provided that the

exporting State notifies the Committee in advance and such transactions involving coal originating outside of the Democratic People's Republic of Korea are unrelated to generating revenue for that country's nuclear or ballistic missile programmes or other activities prohibited by resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017) or 2371 (2017);

Prohibit nationals of the Republic of Kazakhstan from procuring, or using its flag vessels or aircraft to procure, seafood (including fish, crustaceans, molluscs and other aquatic invertebrates in all forms) from the Democratic People's Republic of Korea, whether or not originating in the territory of that country,

For sales and transactions of seafood (including fish, crustaceans, molluscs and other aquatic invertebrates in all forms) for which written contracts have been finalized prior to the adoption of resolution 2371 (2017), all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of resolution 2371 (2017) with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of resolution 2371 (2017);

Prohibit nationals of the Republic of Kazakhstan from procuring, or using its flag vessels or aircraft to procure, lead and lead ore from the Democratic People's Republic of Korea, whether or not originating in the territory of that country,

For sales and transactions of lead and lead ore for which written contracts have been finalized prior to the adoption of resolution 2371 (2017), all States may allow those shipments to be imported into their territories up to 30 days from the date of adoption of resolution 2371 (2017) with notification provided to the Committee containing details on those imports by no later than 45 days after the date of adoption of resolution 2371 (2017);

Not exceed, on any date after the date of adoption of resolution 2371 (2017), the total number of work authorizations for nationals from the Democratic People's Republic of Korea provided in their jurisdictions at the time of the adoption of the resolution, unless the Committee approves on a case-by-case basis in advance that employment of additional nationals from the Democratic People's Republic of Korea beyond the number of work authorizations provided in a Member State's jurisdiction at the time of the adoption of the resolution is required for the delivery of humanitarian assistance, denuclearization or any other purpose consistent with the objectives of resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017) or 2371 (2017);

## **Financial**

Prohibit insurance or re-insurance organizations carrying out insurance activities on the basis of a relevant license and mutual insurance societies from providing insurance or re-insurance services to vessels owned, controlled, or operated, including through illicit means, by the Democratic People's Republic of Korea unless the Committee determines on a case-by-case basis that the vessel is engaged in activities exclusively for livelihood purposes which will not be used by individuals or entities from the Democratic People's Republic of Korea to generate revenue or exclusively for humanitarian purposes;

Prohibit nationals of the Republic of Kazakhstan, or entities or individuals in its territory, from opening new joint ventures or cooperative entities with entities or individuals from the Democratic People's Republic of Korea, or the expansion of existing joint ventures through additional investments, whether or not acting for or on behalf of the Government of the Democratic People's Republic of Korea, unless

such joint ventures or cooperative entities have been approved by the Committee in advance on a case-by-case basis;

Ensure that supervised entities are informed of the need to close existing representative offices, subsidiaries or banking accounts in the Democratic People's Republic of Korea within 90 days, unless the Committee determines on a case-by-case basis that such offices, subsidiaries or accounts are required for the delivery of humanitarian assistance or the activities of diplomatic missions in the Democratic People's Republic of Korea or the activities of the United Nations or its specialized agencies or related organizations or any other purpose consistent with the objectives of this resolution;

Inform supervised entities of the need to freeze funds or other financial assets and economic resources in the territory of the Republic of Kazakhstan that are directly or indirectly owned or controlled by the individuals and entities listed in annexes I and II to resolution [2321 \(2016\)](#), annexes I and II to resolution [2356 \(2017\)](#) and annexes I and II to resolution [2371 \(2017\)](#);

Prohibit public and private financial support from within the territory of the Republic of Kazakhstan or by persons or entities subject to its jurisdiction for trade with the Democratic People's Republic of Korea (including the granting of export credits, guarantees or insurance to its nationals or entities involved in such trade), except as approved in advance by the Committee on a case-by-case basis,

If the government authorities of the Republic of Kazakhstan determine that an individual is working on behalf of or at the direction of a bank or financial institution from the Democratic People's Republic of Korea, then they shall expel the individual from the territory of the Republic of Kazakhstan for the purpose of repatriation to the individual's State of nationality, consistent with applicable national and international law, unless the presence of the individual is required for fulfilment of a judicial process or exclusively for medical, safety or other humanitarian purposes, or the United Nations Security Council has determined on a case-by-case basis that the expulsion of the individual would be contrary to the objectives of resolutions [1718 \(2006\)](#), [1874 \(2009\)](#), [2087 \(2013\)](#), [2094 \(2013\)](#), [2270 \(2016\)](#), [2321 \(2016\)](#), [2356 \(2017\)](#) or [2371 \(2017\)](#);

Seize and dispose (such as through destruction, rendering inoperable or unusable, storage, or transferring to a State other than the originating or destination States for disposal) of items the supply, sale, transfer, or export of which is prohibited by resolutions [1718 \(2006\)](#), [1874 \(2009\)](#), [2087 \(2013\)](#), [2094 \(2013\)](#), [2270 \(2016\)](#), [2321 \(2016\)](#), [2356 \(2017\)](#) and [2371 \(2017\)](#) that are identified in inspections, in a manner that is not inconsistent with their obligations under applicable Security Council resolutions, including resolution [1540 \(2004\)](#), as well as any obligations of parties to the Treaty on the Non-Proliferation of Nuclear Weapons, the Convention on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on Their Development of 29 April 1997, and the Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on Their Destruction of 10 April 1972;

Inform the Ministry of Foreign Affairs of the Republic of Kazakhstan within one week of all information discovered and action taken under this plan of action.