



# Security Council

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## Letter dated 20 December 2019 from the Chair of the Security Council Committee established pursuant to resolution 1718 (2006) addressed to the President of the Security Council

I have the honour to transmit herewith the report of the Security Council Committee established pursuant to resolution 1718 (2006), containing an account of the Committee's activities from 1 January to 31 December 2019. The report, which was approved by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 (S/1995/234).

I should be grateful if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Christoph Heusgen (Germany)  
Chair  
Security Council Committee established pursuant to  
resolution 1718 (2006)



## **Report of the Security Council Committee established pursuant to resolution 1718 (2006)**

### **I. Introduction**

1. The present report of the Security Council Committee established pursuant to resolution 1718 (2006) covers the period from 1 January to 31 December 2019.
2. The Bureau of the Committee consisted of Christoph Heusgen (Germany) as Chair and the representatives of Equatorial Guinea and Poland as Vice-Chairs.

### **II. Background**

3. The Committee is mandated to oversee the implementation, examine and take appropriate action regarding alleged sanctions violations and make recommendations to strengthen the effectiveness of the measures adopted by the Security Council in its resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and 2397 (2017). The measures include an arms embargo, an embargo relating to nuclear, ballistic missile and other weapons of mass destruction programmes, sectoral bans on coal, minerals and fuel, a ban on the export of luxury goods, a travel ban and/or asset freeze on designated individuals and entities, a ban on the provision of financial services, a ban on specialized teaching and training in disciplines that could contribute to prohibited activities and programmes, and cargo inspection and maritime procedures. The measures should not impede the activities of diplomatic or consular missions in the Democratic People's Republic of Korea pursuant to the Vienna Convention on Diplomatic Relations and the Vienna Convention on Consular Relations. The Committee is further mandated to examine and take appropriate action regarding requests for exemptions relating to the sanctions measures, taking into account that they are not intended, inter alia, to have adverse humanitarian consequences for the civilian population of the Democratic People's Republic of Korea. Similarly, the Committee is to determine additional items, materials, equipment, goods and technology to be specified for the purpose of paragraphs 8 (a) (i) and (ii) of resolution 1718 (2006).
4. A panel of experts, established pursuant to resolution 1874 (2009), acts under the direction of and assists the Committee in implementing its mandate to monitor, promote and facilitate the implementation of the measures imposed in the resolutions.
5. The membership of the Panel of Experts initially consisted of seven experts, but was increased to eight experts under resolution 2094 (2013). The mandate of the Panel was renewed most recently by the Security Council in its resolution 2464 (2019).
6. Further background information on the Democratic People's Republic of Korea sanctions regime can be found in the previous annual reports of the Committee.

### **III. Summary of the activities of the Committee**

7. The Committee met six times in informal consultations, on 13 and 21 February, 20 March, 26 August, 27 November and 2 December, in addition to conducting its work through written procedures. The Committee also convened an open briefing, on 13 September.

8. During the informal consultations held on 13 February, the Committee discussed its programme of work for 2019.
9. During the informal consultations held on 21 February, the Committee heard a presentation by the Panel of Experts on its final report (S/2019/171), submitted pursuant to paragraph 2 of resolution 2407 (2018), and held a general discussion on the report.
10. During the informal consultations held on 20 March, the Committee continued its consideration of the Panel's final report and discussed the recommendations contained therein.
11. During the informal consultations held on 26 August, the Committee was briefed by the Office of Information and Communications Technology and discussed the midterm report of the Panel of Experts (S/2019/691), submitted pursuant to paragraph 2 of resolution 2464 (2019).
12. During the informal consultations held on 27 November, the Committee was briefed by the Ambassador of Germany to the Democratic People's Republic of Korea.
13. During the informal consultations held on 2 December, the Committee was briefed by the Office for the Coordination of Humanitarian Affairs.
14. On 25 February, 30 May, 29 August and 13 November, the Chair briefed the Security Council during closed consultations on the activities of the Committee, pursuant to paragraph 12 (g) of resolution 1718 (2006).
15. On 1 July and 9 July, the Committee approved the release of three vessels at the request of a Member State.
16. On 16 August and 9 December, the Committee received letters from the Under-Secretary-General for Political and Peacebuilding Affairs concerning the ongoing efforts of the United Nations to facilitate the transfer of funds for the activities of United Nations system agencies, funds and programmes operating in the Democratic People's Republic of Korea.
17. To date, the Committee has received 113 reports from Member States on the implementation of resolution 2270 (2016), 104 reports on the implementation of resolution 2321 (2016), 87 reports on the implementation of resolution 2371 (2017), 90 reports on the implementation of resolution 2375 (2017), as well as 75 reports on the implementation of resolution 2397 (2017) as a whole and 48 reports on the implementation of paragraph 8 of the same resolution.
18. The Committee continued to assist Member States and international organizations in implementing their obligations under the relevant Security Council resolutions. On 13 September, the Committee held an open briefing on the implementation of sanctions measures and Member States' obligations under the relevant resolutions.
19. The Committee received letters from several United Nations entities requesting confirmation that their engagement with the Democratic People's Republic of Korea, including proposals to provide technical assistance to the country, did not contravene the sanctions regime. The Committee responded to some of those requests, recalling the obligations under the relevant Security Council resolutions.
20. The Committee sent 303 communications to 90 Member States and other stakeholders with reference to the implementation of the sanctions measures.

## IV. Exemptions

21. Exemptions to the arms embargo are contained in paragraph 10 of resolution [1874 \(2009\)](#) and paragraph 8 of resolution [2270 \(2016\)](#).
22. Exemptions to the asset freeze are contained in paragraph 9 of resolution [1718 \(2006\)](#), paragraph 32 of resolution [2270 \(2016\)](#) and paragraph 26 of resolution [2371 \(2017\)](#).
23. Exemptions to the travel ban are contained in paragraph 10 of resolution [1718 \(2006\)](#) and paragraph 10 of resolution [2094 \(2013\)](#).
24. Exemptions relating to the provision of bunkering services are contained in paragraph 17 of resolution [1874 \(2009\)](#).
25. Exemptions relating to proliferation networks are contained in paragraphs 13 and 14 of resolution [2270 \(2016\)](#).
26. Exemptions relating to interdiction and transportation measures are contained in paragraph 21 of resolution [2270 \(2016\)](#), paragraphs 8, 9 and 22 of resolution [2321 \(2016\)](#), paragraphs 6 and 12 of resolution [2375 \(2017\)](#) and paragraph 9 of resolution [2397 \(2017\)](#).
27. Exemptions relating to the supply, sale or transfer of new or used vessels are contained in paragraph 14 of resolution [2397 \(2017\)](#). Exemptions relating to the ban on providing insurance or reinsurance services to vessels are contained in paragraph 11 of the resolution. Exemptions relating to deregistering vessels are contained in paragraph 12 of resolution [2397 \(2017\)](#).
28. Exemptions relating to the coal, iron and iron ore ban are contained in paragraph 8 of resolution [2371 \(2017\)](#) and paragraph 16 of resolution [2397 \(2017\)](#), and exemptions relating to the fuel ban (aviation, rocket and jet fuel) are contained in paragraph 31 of resolution [2270 \(2016\)](#).
29. Exemptions relating to scientific and technical cooperation are contained in paragraph 11 of resolution [2321 \(2016\)](#).
30. Exemptions relating to financial measures are contained in paragraph 19 of resolution [1874 \(2009\)](#), paragraph 33 of resolution [2270 \(2016\)](#), paragraphs 31 to 33 of resolution [2321 \(2016\)](#) and paragraph 18 of resolution [2375 \(2017\)](#).
31. Exemptions relating to statues and new helicopters and vessels are contained in paragraphs 29 and 30 of resolution [2321 \(2016\)](#).
32. Exemptions relating to the ban on all refined petroleum products are contained in paragraph 14 of resolution [2375 \(2017\)](#) and paragraph 5 of resolution [2397 \(2017\)](#). Exemptions relating to the supply, sale or transfer of an excess amount of crude oil are contained in paragraph 15 of resolution [2375 \(2017\)](#) and paragraph 4 of resolution [2397 \(2017\)](#).
33. Exemptions relating to the ban on the supply, sale or transfer of all industrial machinery (Harmonized System codes 84 and 85), transportation vehicles (Harmonized System codes 86 to 89) and iron, steel and other metals (Harmonized System codes 72 to 83) are contained in paragraph 7 of resolution [2397 \(2017\)](#).
34. Exemptions relating to the ban on seafood are contained in paragraph 9 of resolution [2371 \(2017\)](#).
35. Exemptions relating to the ban on the supply, sale or transfer of textiles are contained in paragraph 16 of resolution [2375 \(2017\)](#).

36. Exemptions relating to the ban on workers of the Democratic People's Republic of Korea abroad are contained in paragraph 17 of resolution [2375 \(2017\)](#) and exemptions relating to the repatriation of workers are contained in paragraph 8 of resolution [2397 \(2017\)](#).

37. Exemptions relating to assistance and relief activities are contained in paragraph 25 of resolution [2397 \(2017\)](#).

38. The Committee approved 38 requests from Member States, United Nations entities and other international organizations for exemptions in accordance with paragraph 25 of resolution [2397 \(2017\)](#). The Committee also responded to a request from a Member State, in accordance with paragraph 8 of resolution [2371 \(2017\)](#), regarding the prohibition on joint ventures or cooperative entities set out in paragraph 18 of resolution [2375 \(2017\)](#).

## V. Sanctions list

39. The criteria for the designation of individuals and entities as subject to the travel ban and asset freeze are set out in paragraphs 8 (d) and (e) of resolution [1718 \(2006\)](#), paragraph 12 of resolution [2087 \(2013\)](#) and paragraph 27 of resolution [2094 \(2013\)](#). The procedures for requesting listing and delisting are described in the Committee's guidelines for the conduct of its work.

40. As at the end of the reporting period, there were 80 individuals and 75 entities on the sanctions list of the Committee.

## VI. Panel of Experts

41. On 1 February, in accordance with paragraph 2 of resolution [2407 \(2018\)](#), the Panel of Experts provided its final report to the Committee, which was transmitted to the Security Council on 21 February and issued as a document of the Council ([S/2019/171](#)).

42. On 25 April, following the adoption by the Security Council of resolution [2464 \(2019\)](#) on 10 April, the Secretary-General appointed eight individuals to the Panel, with expertise in missile issues and other technologies, non-proliferation and regional security, customs and export controls, finance and economics, nuclear issues, maritime transport, non-proliferation, procurement and trade, and other weapons of mass destruction and conventional arms. The mandate of the Panel expires on 24 April 2020.

43. On 23 May, in accordance with paragraph 3 of resolution [2464 \(2019\)](#), the Panel presented its programme of work to the Committee. On 31 July, in accordance with paragraph 2 of the resolution, the Panel provided its midterm report to the Committee, which was transmitted to the Security Council on 27 August ([S/2019/691](#)).

44. The Panel conducted visits to Fiji, France, Germany, Japan, the Republic of Korea, the Russian Federation, Singapore, the United Kingdom of Great Britain and Northern Ireland and the United States of America. The Panel also held informal consultations with government officials and national experts of Member States and with representatives of several international organizations and entities, including the Preparatory Commission for the Comprehensive Nuclear-Test-Ban Treaty Organization, the Financial Action Task Force and the International Atomic Energy Agency. It also participated in relevant international meetings, conferences, workshops and seminars.

45. In pursuance of its mandate, the Panel, through the Secretariat, sent 323 letters to 174 Member States, the Committee and international and national entities.

## **VII. Secretariat administrative and substantive support**

46. The Security Council Affairs Division provided substantive and procedural support to the Chair and the members of the Committee. Advisory support was also provided to Member States to promote understanding of the sanctions regime and facilitate the implementation of the sanctions measures. Induction briefings were also provided to incoming members of the Council to familiarize them with the specific issues relevant to the sanctions regime.

47. To support the Committee in its recruitment of well-qualified experts to serve on sanctions monitoring groups, teams and panels, a note verbale was sent to all Member States on 18 December to request the nomination of qualified candidates for membership of the roster of experts. In addition, notes verbales were sent to all Member States on 17 January and 8 July notifying them of upcoming vacancies on the Panel of Experts and providing information on recruitment timelines, areas of expertise and pertinent requirements. On 17 January and 8 July, vacancy announcements were also made available online at [careers.un.org](https://careers.un.org).

48. The Division continued to provide support to the Panel of Experts, conducting an induction for newly appointed members and assisting in the preparation of the Panel's final report, submitted to the Committee in February, and its midterm report, submitted to the Committee in July. The Secretariat convened a two-day inter-panel workshop, at which 60 experts representing 10 sanctions panels were invited to share experiences and good practices and to discuss issues of common interest. The Secretariat also organized an investigative techniques workshop, which was focused on investigative methods and tools for panel experts.

49. The Secretariat continued to update and maintain the Consolidated United Nations Security Council Sanctions List and the committee-specific sanctions lists in the six official languages and the three technical formats. Furthermore, the Secretariat implemented improvements concerning the effective utilization of and access to sanctions lists, as well as further developing, in all official languages, the data model approved in 2011 by the Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, as requested by the Council in paragraph 54 of resolution [2368 \(2017\)](#).