



Security Council

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Letter dated 20 December 2019 from the Chair of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities addressed to the President of the Security Council

I have the honour to transmit herewith the report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, containing an account of the Committee's activities from 1 January to 31 December 2019. The report, which was approved by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 ([S/1995/234](#)).

I should be grateful if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Dian Triansyah **Djani**
Chair

Security Council Committee pursuant to resolutions
[1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning
Islamic State in Iraq and the Levant (Da'esh), Al-Qaida
and associated individuals, groups, undertakings and entities



Report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities

I. Introduction

1. The present report of the Security Council Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning Islamic State in Iraq and the Levant (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities covers the period from 1 January to 31 December 2019.
2. The Bureau of the Committee consisted of Dian Triansyah Djani (Indonesia) as Chair and the representatives of the Russian Federation and Peru as Vice-Chairs.

II. Background

3. By its resolution [1267 \(1999\)](#), the Security Council imposed limited air and financial embargoes to compel the Taliban to cease providing sanctuary and training to terrorists, including Usama bin Laden. The Council subsequently modified the regime by its resolutions [1333 \(2000\)](#) and [1390 \(2002\)](#) and imposed an arms embargo, a travel ban and an assets freeze on designated individuals and entities associated with the Taliban and Al-Qaida. Exemptions to the asset freeze and the travel ban are available.
4. On 17 June 2011, the Security Council unanimously adopted resolutions [1988 \(2011\)](#) and [1989 \(2011\)](#), by which it split the regime in two, establishing one committee for the Taliban and another for Al-Qaida. By its resolution [2253 \(2015\)](#), the Council expanded the listing criteria to include those associated with Islamic State in Iraq and the Levant (ISIL, or Da'esh), in addition to Al-Qaida.
5. By its resolution [2368 \(2017\)](#), adopted on 20 July 2017, the Security Council renewed the mandates of the Analytical Support and Monitoring Team pursuant to resolutions [1526 \(2004\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and the Taliban and associated individuals and entities and of the Office of the Ombudsperson until 17 December 2021. On 21 December 2018, the President of the Council issued a statement ([S/PRST/2018/21](#)) concluding that, after having reviewed the implementation of the measures in accordance with paragraph 104 of resolution [2368 \(2017\)](#), no further adjustments to the measures were necessary.
6. Both the Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning ISIL (Da'esh), Al-Qaida and associated individuals, groups, undertakings and entities, and the Committee established pursuant to resolution [1988 \(2011\)](#) are supported by the Monitoring Team. The Monitoring Team initially consisted of 8 experts, and that number was increased to 10 experts in resolution [2253 \(2015\)](#).
7. Further background information on the ISIL (Da'esh) and Al-Qaida sanctions regime can be found in the previous annual reports of the Committee.

III. Summary of the activities of the Committee

8. The Committee met 18 times in informal consultations: on 14 and 30 January, 11 and 20 February, 6, 12 and 14 March, 5 April, 16 May, 1 and 12 July, 15 August, 5 September, 1 and 11 October, 7 and 15 November and 20 December, in addition to conducting its work through written procedures.

9. The Committee also met three times in joint informal consultations with the Committee established pursuant to resolution 1988 (2011), on 30 January, 1 July and 15 August, and once with the Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism, on 28 February. The Committee also held one joint special meeting with both the Committee established pursuant to resolution 1988 (2011) and the Committee established pursuant to resolution 1373 (2001) concerning counter-terrorism, on 26 April. The Committee held one joint briefing with the Committee established pursuant to resolution 1988 (2011) for all Member States on 19 August.

10. During the informal consultations held on 14 January, the Committee heard a presentation by the Monitoring Team regarding the Team's twenty-third report (S/2019/50), submitted in accordance with paragraph (a) of annex I to resolution 2368 (2017), and discussed the recommendations contained therein.

11. During the informal consultations on 30 January, the Committee heard a presentation by the Monitoring Team on its trip to Kazakhstan. In addition, the Committee received a briefing by the Secretariat concerning its restructuring to strengthen support for the Team pursuant to paragraph 94 of resolution 2368 (2017). Thereafter, the Committee met in joint informal consultations with the Committee established pursuant to resolution 1988 (2011) to hear a presentation by the Monitoring Team on its visit to Afghanistan.

12. During the informal consultations held on 11 February, the Committee received a briefing by the Monitoring Team on the annual review of the sanctions list for 2017. The Committee also heard a presentation by the Monitoring Team on its report on the seventh regional forum for security and intelligence services, in South-East Asia.

13. On 20 February, the Committee heard a presentation by the Monitoring Team on its trip to Iraq. The Committee also received a briefing by the Ombudsperson on his findings regarding a delisting request.

14. During the joint informal consultations with the Committee established pursuant to resolution 1373 (2001) on 28 February, the Committees were briefed by the Deputy Director of the Federal Security Service of the Russian Federation, the Head of the National Anti-Terrorism Committee Central Office and the Deputy Chairman of the Committee.

15. During the informal consultations held on 6 March, the Committee heard a briefing by a delegation from Kenya.

16. During the informal consultations held on 12 March, the Monitoring Team presented to the Committee its reports on its trips to Bosnia and Herzegovina, Kosovo and North Macedonia.

17. During the informal consultations held on 14 March, the Committee heard a briefing by the Ombudsperson on his findings regarding a delisting request.

18. During the informal consultations held on 5 April, the Committee received a briefing by the Monitoring Team, pursuant to paragraph 99 of resolution 2368 (2017).

19. During the joint special meeting with the Committees established pursuant to resolutions [1373 \(2001\)](#) and [1988 \(2011\)](#) on 26 April, the Committees discussed the nexus between international terrorism and organized crime.
20. During the informal consultations held on 16 May, the Committee heard a briefing by the Ombudsperson on his findings regarding a delisting request.
21. On 1 July, the Committee held joint informal consultations with the Committee established pursuant to resolution [1988 \(2011\)](#) to hear a presentation by the Monitoring Team on its trips to Uzbekistan and Tajikistan. The Committee met thereafter in informal consultations to hear a presentation by the Monitoring Team on its visit to Canada, and to discuss the assets freeze exemption process.
22. During the informal consultations held on 12 July, the Committee heard a presentation by the Monitoring Team regarding the Team's twenty-fourth report ([S/2019/570](#)), submitted in accordance with paragraph (a) of annex I to resolution [2368 \(2017\)](#), and discussed the recommendations contained therein.
23. During the informal consultations held on 15 August, the Committee was briefed by the Monitoring Team on its visit to the Maldives. The Committee also received an update briefing from the Secretariat concerning its restructuring to strengthen support for the Team pursuant to paragraph 94 of resolution [2368 \(2017\)](#). Thereafter, the Committee met in joint informal consultations with the Committee established pursuant to resolution [1988 \(2011\)](#) to hear presentations by the Monitoring Team on its visits to Azerbaijan and Turkey.
24. During the informal consultations held on 5 September, the Committee heard presentations by the Monitoring Team on its visits to Egypt and Belgium. The Committee also heard a briefing by the Ombudsperson on his findings regarding a delisting request.
25. During the informal consultations held on 1 October, the Committee heard a presentation by the Monitoring Team on its trip to the Syrian Arab Republic. The Committee was also briefed by the Monitoring Team on a report of the seventeenth regional forum for security and intelligence services, in the Middle East and North Africa.
26. During the informal consultations held on 11 October, the Committee received a briefing by the Monitoring Team, pursuant to paragraph 99 of resolution [2368 \(2017\)](#). The Committee was also briefed by the Monitoring Team on its visit to the Democratic Republic of the Congo.
27. During the informal consultations held on 7 November, the Committee heard a briefing by the Ombudsperson on his findings regarding a delisting request.
28. During the informal consultations held on 15 November, the Committee received a briefing by the Monitoring Team on the annual review of the sanctions list for 2018.
29. During the informal consultations held on 20 December, the Committee heard a briefing by the Ombudsperson on his findings regarding two delisting requests.
30. On 19 August, pursuant to paragraph 46 of resolution [2368 \(2017\)](#) and paragraph 56 of resolution [2255 \(2015\)](#), the Chair, acting in his capacity as Chair of the Committee and of the Committee established pursuant to resolution [1988 \(2011\)](#), held a briefing for interested Member States with a view to raising awareness of the two sanctions regimes, enhancing transparency and improving the dialogue between the Committees and the broader United Nations membership. The Coordinator of the Monitoring Team and the Ombudsperson also briefed Member States.

31. On 20 May, the Chair briefed the Security Council on the mandate and general work of the Committee alongside the Chair of the Committee established pursuant to resolution [1373 \(2001\)](#) concerning counter-terrorism and the Chair of the Committee established pursuant to resolution [1540 \(2004\)](#) (see [S/PV.8364](#)).

32. On 16 and 17 October, the Chair visited Sochi, Russian Federation, to participate in the eighteenth Meeting of Heads of Special Services, Security Agencies and Law-Enforcement Organizations.

33. The Committee provided additional guidance to all Member States by issuing three notes verbales, dated 18 March, 22 July and 27 August on, respectively, the twenty-third report of the Monitoring Team ([S/2019/50](#)), the holding of a joint briefing on 19 August, and the twenty-fourth report of the Monitoring Team ([S/2019/570](#)).

34. The Committee sent 98 communications to 59 Member States and other stakeholders, 11 communications to the Office of the Ombudsperson and 2 communications to the focal point for delisting.

IV. Exemptions

35. Exemptions to the asset freeze are contained in paragraphs 1 and 2 of resolution [1452 \(2002\)](#), as amended in resolution [1735 \(2006\)](#), paragraph 75 of resolution [2253 \(2015\)](#) and paragraph 81 of resolution [2368 \(2017\)](#).

36. Exemptions to the travel ban are contained in paragraphs 2 (b) and 10 of resolution [2253 \(2015\)](#), paragraphs 1 (b) and 10 of resolution [2368 \(2017\)](#) and section 12 of the Committee's guidelines for the conduct of its work.

37. Pursuant to paragraphs 10 and 76 of resolution [2253 \(2015\)](#) and paragraphs 10 and 82 of resolution [2368 \(2017\)](#), the focal point mechanism established in resolution [1730 \(2006\)](#) may also receive requests for exemption from the asset freeze and the travel ban, submitted by or on behalf of an individual, group, undertaking or entity on the sanctions list, or by the legal representative or estate of such an individual, group, undertaking or entity, for the Committee's consideration.

38. The Committee approved eight requests for exemption from the asset freeze, determined to be necessary for basic expenses pursuant to paragraph 81 (a) of resolution [2368 \(2017\)](#). The Committee received one request for exemption from the travel ban and asset freeze through the focal point mechanism pursuant to paragraphs 82 and 83 of resolution [2368 \(2017\)](#).

V. Sanctions list

39. The criteria for the designation of individuals and entities as subject to the travel ban, asset freeze and arms embargo are set out in paragraphs 2 to 4 of resolution [2368 \(2017\)](#). The procedures for requesting listing and delisting are described in the Committee's guidelines for the conduct of its work, and standard forms for listing and delisting are available on the Committee's website.

40. Both the Committee and the Ombudsperson can receive delisting requests. During the reporting period, four individuals and two entities were listed. One entity and eight individuals were delisted. Of those, two individuals were delisted following a review by the Ombudsperson and one individual was delisted by the Committee after the submission of a petition to the Ombudsperson, but before the Ombudsperson concluded his review. The Committee approved amendments to the existing entries of 10 individuals and 1 entity on its sanctions list.

41. As at the end of the reporting period, there were 261 individuals and 84 entities on the sanctions list of the Committee.

VI. Monitoring Team

42. The Monitoring Team comprises 10 experts with broad experience in international counter-terrorism issues.

43. On 27 June, the Team provided its twenty-fourth report (S/2019/570) to the Committee, in accordance with paragraph (a) of annex I to resolution 2368 (2017).

44. In January and July, the Monitoring Team contributed to the reports of the Secretary-General submitted pursuant to paragraph 101 of resolution 2368 (2017) (S/2019/103 and S/2019/612).

45. During the informal consultations held on 5 April and 11 October, the Monitoring Team briefed the Committee pursuant to paragraph 99 of resolution 2368 (2017), including gathered on information and analysis relevant to potential sanctions designations by Member States or Committee action that could be taken. The Team also briefed the Committee on its trips to Member States on 30 January, 11 and 20 February, 12 March, 1 July, 15 August, 5 September and 1 and 11 October.

46. On 7 January and 7 June, in accordance with paragraph (e) of annex I to resolution 2368 (2017), the Monitoring Team submitted its combined biannual travel plans for the Committee and the Committee established pursuant to resolution 1988 (2011) for the periods from January to June 2019 and July to December 2019. Accordingly, the Monitoring Team conducted country visits to more than 47 Member States and participated in more than 70 regional and international conferences and other meetings, including the eighty-eighth session of the General Assembly of the International Criminal Police Organization, held in Chile, and the eighteenth Meeting of Heads of Special Services, Security Agencies and Law-Enforcement Organizations, held in the Russian Federation. The Team also organized three regional forums for security and intelligence services, in Austria, Senegal and the Philippines.

47. In December, the Monitoring Team held meetings with incoming members of the Security Council in order to raise awareness of the Team's mandate and work.

48. In pursuance of its mandate, the Monitoring Team, through the Secretariat, sent over 400 letters to Member States, regional and international organizations, national entities and the Committee.

VII. Ombudsperson

49. The Office of the Ombudsperson submitted seven comprehensive reports to the Committee and presented five oral reports to the Committee. The Committee took a decision in five cases, resulting in the delisting of two individuals and the retention of three individuals on the sanctions list. In addition, one petition before the Ombudsperson became moot following the Committee's decision to delist the individual in question. The Ombudsperson travelled to Tunisia, Kuwait, Qatar, the United Kingdom of Great Britain and Northern Ireland and Germany to interview eight petitioners. He also travelled to Jordan and Lebanon for the purpose of gathering information in one case.

50. The Ombudsperson submitted periodic reports to the Security Council on 6 February (S/2019/112) and 1 August (S/2019/621).

VIII. Secretariat administrative and substantive support

51. The Security Council Affairs Division provided substantive and procedural support to the Chair and the members of the Committee. Advisory support was also provided to Member States to promote understanding of the sanctions regimes and facilitate the implementation of the sanctions measures. Induction briefings were also provided to incoming members of the Council to familiarize them with the specific issues relevant to the sanctions regime. The Division supported the visit of the Chair to Sochi, Russian Federation, to attend a meeting of Heads of Special Services, Security Agencies and Law Enforcement Organizations, from 16 to 17 October.

52. To support the Committee in its recruitment of well-qualified experts to serve on sanctions monitoring groups, teams and panels, a note verbale was sent to all Member States on 18 December to request the nomination of qualified candidates for membership of the roster of experts. In addition, a note verbale was sent to all Member States on 26 February notifying them of an upcoming vacancy on the Monitoring Team and providing information on recruitment timelines, areas of expertise and pertinent requirements. The vacancy announcement on 22 February was also made available online at careers.un.org.

53. The Division continued to provide support to the Monitoring Team, conducting an induction for newly appointed members and assisting in the preparation of the Team's biannual reports, submitted in June and December to the Committee. The Secretariat convened a two-day inter-panel workshop, at which 60 experts representing 10 sanctions panels were invited to share experiences and good practices and to discuss issues of common interest.

54. The Secretariat continued to update and maintain the Consolidated United Nations Security Council Sanctions List and the committee-specific sanctions lists in the six official languages and the three technical formats. Furthermore, the Secretariat implemented improvements concerning the effective utilization of and access to sanctions lists, as well as further developing, in all official languages, the data model approved in 2011, as requested by the Council in paragraph 54 of resolution [2368 \(2017\)](#).

55. On 30 January and 15 August, the Secretariat briefed the Committee on its implementation of paragraph 94 of resolution [2368 \(2017\)](#) on its support to the Monitoring Team.